

The University of New Mexico
Board of Regents' Audit and Compliance Committee
March 3, 2016 – 9:00 AM
Roberts Room
Agenda

ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from November 5, 2015
3. The following 2016 calendar year meeting dates are being presented for Committee approval. The Committee meets at 9:00 AM in the Roberts Room. This schedule will accommodate both entrance and exit conferences for the FY16 Financial Statements audit.

May 5, 2016 (Entrance Conference for FY16 External Audit)

August 4, 2016

October 20, 2016 (Exit Conference for FY16 External Audit)

4. Discussion and Approval of Regents' Policy, Section 8.8 – Harwood Foundation and Regents Resolution Regarding the Governing Board of the Harwood Foundation (*Amy Wohlert, Chief of Staff, President's Office*)
5. Approval of the Contract for UNM FY16 Annual Financial Statements Audit (*Elizabeth Metzger, University Controller*)

INFORMATION ITEMS

6. Advisors' Comments
7. Follow-Up Items from November 5, 2015 Meeting
8. Main Campus Chief Compliance Officer Status Report (*Helen Gonzales, UNM Main Campus Chief Compliance Officer*)
9. Health Sciences Center Chief Compliance Officer Status Report (*Stuart Freedman, HSC Chief Compliance Officer*)
10. Status of Audit Recommendations (*Chien-chih Yeh, Internal Audit Manager*)
Implemented
Pending
11. Director of Internal Audit Status Report (*Manu Patel, Internal Audit Director*)

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EXECUTIVE SESSION

12. Vote to close the meeting and to proceed in Executive Session as follows:

- a. Discussion of draft Internal Audit Reports, and discussions of information subject to attorney-client privilege pursuant RPM 1.2
- b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
- c. Schedule of Audits in Process and FY16 Audit Work plan, pursuant to RPM 1.2
- d. Vote to re-open the meeting.

13. Certification that only those matters described in Agenda item # 12 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session

14. Adjournment